

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390.P4114

Total Pages 2

First Named Inventor or Application Identifier Vaughn S. Iverson

Express Mail Label No. EL0344308120US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification (Total Pages 31) (including title page)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. ☒ Drawings(s) (35 USC 113) (Total Sheets 7)
4. ☒ Oath or Declaration (Total Pages 3)
 - a. ☒ Newly Executed (Original or Copy)
 - b. ☐ Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
 - i. ☐ DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & documents(s)) (3 Pages—Signed)
9. ☐ a. 37 CFR 3.73(b) Statement (where there is an assignee)
- ☒ b. Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
- ☐ b. Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ a. Small Entity Statement(s)
- b. Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: Separate Sheet: Certificate of Mailing with Attorney Signature and copy of
return postcard.

17. **If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
- of prior application No: _____

18. Correspondence Address

☐ Customer Number or Bar Code Label _____

(Insert Customer No. or Attach Bar Code Label here)

or

☒ Correspondence Address Below

NAME Michael A. Proksch, Reg. No. 43,021

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (503) 684-6200 FAX (503) 684-3245

Express Mail Label: EL034430812US

12/01/97

-2-

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032
 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**METHOD AND APPARATUS FOR PROVIDING
A LOCATION BASED APPLIANCE PERSONALITY**

**Inventor: Vaughn S. Iverson
Our Reference: 42390.P4114**

Jc135 U.S. PTO
09/24/20
68/10/20
458172/60

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN, L.L.P.

Date: February 1, 1999

Michael A. Proksch
Michael A. Proksch
Reg. No. 43,021

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated below and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on:

February 1, 1999

Date of Deposit

Michelle L. Evans

Name of Person Mailing Correspondence

Michelle L. Evans 2/1/99

Signature

Date

EL034430812US

"Express Mail" mailing label number

Serial/Patent No.	Filing/Issue Date
Client	
Title	
BSTZ File No.	
Date Mailed	
Docket Due Date	
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:	
<input type="checkbox"/> Amendment/Response (____ pgs.)	<input type="checkbox"/> Express Mail No. <u>EL034430812US</u> <input type="checkbox"/> Check # <u>6067</u>
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)	<input type="checkbox"/> Extension of Time (____ pgs.)
<input type="checkbox"/> Application - Utility (____ pgs.) with cover and abstract	<input type="checkbox"/> Extension of Time (____ pgs.)
<input type="checkbox"/> Application - Rule 1.33(b) Continuation (____ pgs.)	<input type="checkbox"/> Issue Fee Transmittal (____ pgs.)
<input type="checkbox"/> Application - Rule 1.33(b) Divisional (____ pgs.)	<input type="checkbox"/> Notice of Appeal (____ pgs.)
<input type="checkbox"/> Application - Rule 1.33(b) CP (____ pgs.)	<input type="checkbox"/> Petition for Extension of Time (____ pgs.)
<input type="checkbox"/> Application - Rule 1.33(b) CP Transmittal (____ pgs.)	<input type="checkbox"/> Petition for Rehearing (____ pgs.)
<input type="checkbox"/> Application - Design (____ pgs.)	<input type="checkbox"/> Power of Attorney (____ pgs.)
<input type="checkbox"/> Application - CP (____ pgs.)	<input type="checkbox"/> Preliminary Amendment (____ pgs.)
<input type="checkbox"/> Application - Provisional (____ pgs.)	<input type="checkbox"/> Reply Brief (____ pgs.)
<input type="checkbox"/> Assignment and Cross-Ref. <u>3 pgs.</u> - signed	<input type="checkbox"/> Response to Notice of Missing Parts (____ pgs.)
<input type="checkbox"/> Certificate of Mailing	<input type="checkbox"/> Small Entity Declaration for Independent Inventor/Small Business (____ pgs.)
<input type="checkbox"/> Declaration & Oath (____ pgs.) signed	<input type="checkbox"/> Transmittal Letter in duplicate (____ pgs.)
<input type="checkbox"/> Declaration & Oath (____ pgs.) signed	<input type="checkbox"/> Fee Transmittal in duplicate (____ pgs.)
<input type="checkbox"/> Drawings <u>7/8</u> of each sheet <u>18</u> figures	
<input type="checkbox"/> Other: <u>Separate Sheet - Certificate of Mailing with Attorney</u>	
Signature and Copy of Return Postcard:	

FEE TRANSMITTAL

EXPRESS MAIL NO. EL034430812US

Complete if Known:

Application No. Not Yet Assigned
 Filing Date February 1, 1999
 First Named Inventor Vaughn S. Iverson
 Group Art Unit Not Yet Assigned
 Examiner Name Not Yet Assigned
 Attorney Docket No. 42390.P4114

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

- ☒ Deposit Account Name
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. ☒ Payment Enclosed
☒ Check
☐ Money Order
☐ Other

FEE CALCULATION (fees effective 11/10/98)

1. FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	790	201	395	Utility application filing fee	<u>760.00</u>
106	330	206	165	Design application filing fee	
107	540	207	270	Plant filing fee	
108	790	208	395	Reissue filing fee	
114	150	214	75	Provisional application filing fee	
SUBTOTAL (1)					<u>\$ 760.00</u>

2. CLAIMS

			Extra		Fee from below		Fee Paid
Total Claims	<u>20</u>	- <u>20</u>	=	<u>0</u>	X	<u>18.00</u>	= <u>0.00</u>
Independent Claims	<u>3</u>	- <u>3</u>	=	<u>0</u>	X	<u>78.00</u>	= <u>0.00</u>
Multiple Dependent Claims					X		

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	22	203	11	Claims in excess of twenty	<u>0.00</u>
102	82	202	41	Independent claims in excess of 3	<u>0.00</u>
104	270	204	135	Multiple dependent claim	
109	82	209	41	Reissue independent claims over original patent	
110	22	210	11	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					<u>\$ 0.00</u>

FEE CALCULATION (continued)
3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	950	217	475	Extension for response within third month	
118	1,510	218	755	Extension for response within fourth month	
128	2,060	228	1,030	Extension for response within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,320	241	660	Petition to revive unintentionally abandoned application	
142	1,320	242	660	Utility issue fee (or reissue)	
143	450	243	225	Design issue fee	
144	670	244	335	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	790	246	395	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	790	249	395	For each additional invention to be examined (see 37 CFR 1.129(a))	

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

TOTAL AMOUNT OF PAYMENT (\$)

\$ 800.00
SUBMITTED BY:

Typed or Printed Name: Michael A. Proksch

Signature Michael A. Proksch Date February 6, 1999

Reg. Number 43,021 Deposit Account User ID _____ (complete if applicable)